

Individual Enhanced Due Diligence (EDD) Supplement Form

To be completed in addition to the CDD Form where Montezy GmbH has identified an increased risk (Art. 6 AMLA).

Part I – Additional Background Information

Client Name	
CDD Reference Number / Client ID	

If the occupation or business information provided in the CDD Form does not adequately cover your professional, business or investment background during the past five years, please provide additional background for that period, or a link to your LinkedIn or other professional profile.

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Part II – Source of Funds and Source of Wealth

Please explain in detail the specific source of the funds to be used in transactions through Montezy GmbH.

- The origin of the funds;
- The approximate amount involved; and
- How the funds were accumulated or obtained.

Supporting documents may include bank statements, proof of salary or employment income, proof of asset sale, investment statements, loan agreements or inheritance documents.

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Please explain in detail the primary source(s) of your overall wealth.

- The primary source(s) of your wealth;
- The approximate value of the accumulated wealth; and
- How the wealth was accumulated or obtained.

Supporting documents may include financial statements, tax filings, proof of business ownership, investment portfolio statements, property or asset sale documentation, inheritance or trust documents.

Part III – Digital Asset Activities (if applicable)

Please provide the primary wallet address(es) expected to be used in connection with digital asset transactions involving Montezy GmbH, with the blockchain network for each.

Montezy GmbH may request further information or verification regarding wallet ownership, the source of digital assets, blockchain transaction history, counterparties and wallet activity. Verification may include wallet screenshots, signed wallet messages, verification transfers or blockchain analytics reviews (including ownership verification of self-hosted wallets).

Part IV – Compliance Information

If you are a PEP, HIO, or a family member or close associate of such a person, please provide:

- The public position held;
- The relevant jurisdiction and institution;
- The period during which the position was held; and
- The nature of the relationship to the relevant individual (if applicable).

Please provide details of any past or ongoing regulatory investigations, enforcement proceedings, sanctions-related matters, criminal proceedings, financial misconduct allegations, or material litigation involving financial, compliance or fraud-related matters.

Please include the authority or court, jurisdiction, approximate date, current status and outcome (if applicable).

Please explain whether you: (i) act on behalf of underlying clients; (ii) conduct activities as an intermediary, broker, dealer, introducer, payment processor, virtual asset service provider (VASP) or other financial service provider; or (iii) facilitate transactions for third parties.

Please provide details of all relevant licences, registrations, authorisations or regulated activities held, conducted or applied for in any jurisdiction, whether active, pending, suspended, inactive or no longer used, and of any related internal compliance arrangements.

Part V – Documents to Attach

No.	Document to attach	Attached
1	Supporting documentation evidencing the source of funds.	☐
2	Supporting documentation evidencing the source of wealth.	☐
3	Documentation relating to digital asset activities or wallet ownership (e.g. wallet screenshots, signed wallet messages, blockchain transaction records), where applicable.	☐
4	Documentation relating to PEP / HIO status, where applicable.	☐
5	Documentation relating to regulatory investigations, enforcement or sanctions matters, criminal proceedings or litigation, where applicable.	☐
6	Licensing, registration, authorisation or regulatory documentation for any regulated activities conducted or applied for, where applicable.	☐
7	Completed and signed IRS Form W-8BEN, where applicable.	☐
8	Completed CRS tax residency self-certification form, where applicable.	☐
9	Any other documentation reasonably requested for enhanced due diligence or compliance purposes.	☐

Part VI – Applicable Law and Data Protection

This form is completed in accordance with the Swiss Federal Act on Combating Money Laundering and Terrorist Financing (Anti-Money Laundering Act, AMLA), the Anti-Money Laundering Ordinance (AMLO), the Regulations of the Self-Regulatory Organisation VQF (VQF Regulations), and applicable Swiss sanctions law (Embargo Act) and criminal law.

Montezy GmbH may collect, use, verify, disclose and retain personal information, compliance-related information and supporting documentation provided in connection with this EDD process for the purposes of identity verification, compliance reviews, sanctions screening, anti-money laundering and counter-terrorist financing compliance, risk management, transaction monitoring, regulatory reporting and other lawful compliance purposes, in accordance with applicable law (including the Swiss Federal Act on Data Protection) and its internal data protection and confidentiality policies.

Part VII – Certification and Authorisation

I hereby certify, represent, acknowledge and authorise that:

- 1 All information, explanations and supporting materials provided in connection with this EDD Supplement Form are true, correct, accurate and complete to the best of my knowledge and belief;
- 2 Montezy GmbH and its compliance representatives, agents, service providers and compliance vendors (including Sumsb for identity verification and screening, and ChainStream for blockchain analytics) are authorised to conduct enhanced verification, sanctions screening, politically exposed person (PEP) screening, adverse media searches, background checks, blockchain analytics reviews, wallet verification procedures, regulatory status reviews and other enhanced compliance or due diligence procedures as may be reasonably required under applicable laws, regulations, internal compliance policies or risk management procedures;
- 3 Montezy GmbH is authorised, where reasonably necessary for compliance purposes, to verify information, wallet ownership, transaction history and supporting documentation provided by me with relevant third parties, databases, financial institutions, blockchain analytics providers, public registries or governmental authorities.

Full Name	Date
Signature	Place