

Institutional Enhanced Due Diligence (EDD) Supplement Form

To be completed in addition to the CDD Form where Montezy GmbH has identified an increased risk (Art. 6 AMLA).

Part I – Additional Background Information

Institution Name	
CDD Reference Number / Client ID	

If the business information provided in the CDD Form does not adequately cover the institution's business activities, operations or corporate development during the past five years, please provide additional background for that period, or a link to the institution's website, corporate profile, annual report or other public business profile.

Part II – Source of Funds and Source of Wealth

Please explain in detail the specific source of the funds to be used in transactions through Montezy GmbH.

- The origin of the funds;
- The approximate amount involved; and
- How the funds were accumulated or obtained.

Supporting documents may include bank statements, financial statements, management accounts, shareholder capital contribution records, proof of asset sale, investment account statements, loan or financing agreements.

Please explain in detail the primary source(s) of the institution's overall assets or wealth.

- The primary source(s) of the assets or wealth;
- The approximate value of the accumulated assets or wealth; and
- How the assets or wealth were accumulated or obtained.

Supporting documents may include financial statements, management accounts, capital contribution records, tax filings, proof of business ownership, investment portfolio statements, asset sale documentation or financing agreements.

Part III – Digital Asset Activities (if applicable)

Please provide the primary wallet address(es) expected to be used in connection with digital asset transactions involving Montezy GmbH, with the blockchain network for each.

Montezy GmbH may request further information or verification regarding wallet ownership, the source of digital assets, blockchain transaction history, counterparties and wallet activity. Verification may include wallet screenshots, signed wallet messages, verification transfers or blockchain analytics reviews (including ownership verification of self-hosted wallets).

Part IV – Compliance Information

If any director, beneficial owner, authorised person or controlling person of the institution is a PEP, HIO, or a family member or close associate of such a person, please provide:

- The public position held;
- The relevant jurisdiction and institution;
- The period during which the position was held; and
- The nature of the relationship to the relevant individual (if applicable).

Please provide details of any past or ongoing regulatory investigations, enforcement proceedings, sanctions-related matters, criminal proceedings, financial misconduct allegations, or material litigation involving financial, compliance or fraud-related matters concerning the institution.

Please include the authority or court, jurisdiction, approximate date, current status and outcome (if applicable).

Please explain whether the institution: (i) acts on behalf of underlying clients; (ii) conducts activities as an intermediary, broker, dealer, introducer, payment processor, virtual asset service provider (VASP) or other financial service provider; or (iii) facilitates transactions for third parties.

Please provide details of all relevant licences, registrations, authorisations or regulated activities held, conducted or applied for in any jurisdiction, whether active, pending, suspended, inactive or no longer used, and of any related internal compliance arrangements.

Part V – Documents to Attach

No.	Document to attach	Attached
1	Supporting documentation evidencing the source of funds.	☐
2	Supporting documentation evidencing the source of assets or wealth.	☐
3	Documentation relating to digital asset activities or wallet ownership (e.g. wallet screenshots, signed wallet messages, blockchain transaction records), where applicable.	☐
4	Documentation relating to PEP / HIO status, where applicable.	☐
5	Documentation relating to regulatory investigations, enforcement or sanctions matters, criminal proceedings or litigation, where applicable.	☐
6	Licensing, registration, authorisation or regulatory documentation for any regulated activities conducted or applied for by the institution, where applicable.	☐
7	Completed IRS Form W-8BEN-E or other applicable U.S. tax form, where applicable.	☐
8	Completed CRS tax residency self-certification form, where applicable.	☐
9	Any other documentation reasonably requested for enhanced due diligence or compliance purposes.	☐

Part VI – Applicable Law and Data Protection

This form is completed in accordance with the Swiss Federal Act on Combating Money Laundering and Terrorist Financing (Anti-Money Laundering Act, AMLA), the Anti-Money Laundering Ordinance (AMLO), the Regulations of the Self-Regulatory Organisation VQF (VQF Regulations), and applicable Swiss sanctions law (Embargo Act) and criminal law.

Montezy GmbH may collect, use, verify, disclose and retain institutional information, personal information, compliance-related information and supporting documentation provided in connection with this EDD process for the purposes of identity verification, compliance reviews, sanctions screening, anti-money laundering and counter-terrorist financing compliance, risk management, transaction monitoring, regulatory reporting and other lawful compliance purposes, in accordance with applicable law (including the Swiss Federal Act on Data Protection) and its internal data protection and confidentiality policies.

Part VII – Certification and Authorisation

The undersigned, on behalf of the applicant institution, hereby certifies, represents, acknowledges and authorises that:

- 1 All information, explanations and supporting materials provided in connection with this EDD Supplement Form are true, correct, accurate and complete to the best knowledge and belief of the applicant institution and the undersigned;
- 2 Montezy GmbH and its compliance representatives, agents, service providers and compliance vendors (including Sumsb for identity verification and screening, and ChainStream for blockchain analytics) are authorised to conduct enhanced verification, sanctions screening, politically exposed person (PEP) screening, adverse media searches, background checks, blockchain analytics reviews, wallet verification procedures, regulatory status reviews and other enhanced compliance or due diligence procedures as may be reasonably required under applicable laws, regulations, internal compliance policies or risk management procedures;
- 3 Montezy GmbH is authorised, where reasonably necessary for compliance purposes, to verify information, wallet ownership, transaction history and supporting documentation provided by the applicant institution with relevant third parties, databases, financial institutions, blockchain analytics providers, public registries or governmental authorities.

Name of Institution	Date
Full Name of Signatory	Title of Signatory
Signature	Company Stamp (if any)